

Governance & corporate bodies

June 2021





General information

ONE swiss bank SA, Switzerland, is dedicated to help Swiss and international clients to manage their wealth and assets on a global scale.

The Bank's service extends primarily to the management of private and institutional assets, as well as the operation of a depository bank for those clients. The Bank operates its activities from Geneva, Zurich and Lugano.

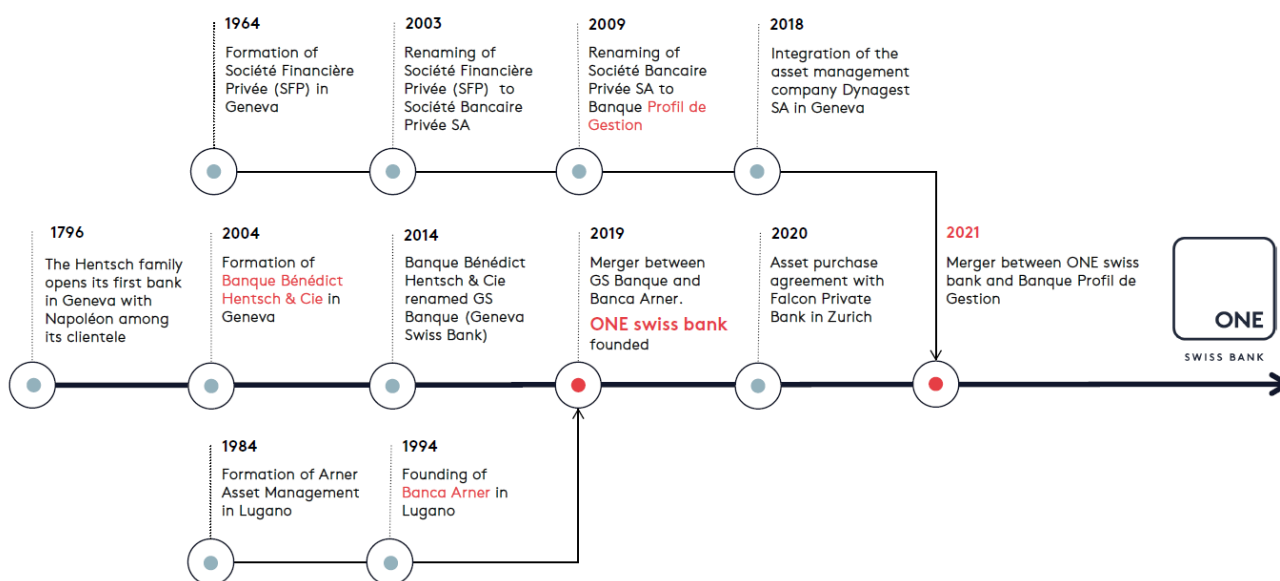
The result of successful mergers of companies sharing the same values and a strong vision that the Bank can make positive difference for its clients in the private banking industry.

The Bank is organised under 3 business units:

- Wealth management (for individual clients)
- Asset services (for financial intermediaries)
- Asset Management (for both clients)

The Bank is supervised by the Swiss Financial Market Supervisory Authority (FINMA) under a banking license, and is listed on the Swiss stock exchange (SIX).

The Bank's history is a blend of tradition and entrepreneurial spirit.



As of June 2021, the number of full-time equivalent staff at the Bank are 75 employees.

The Bank outsources its information technology and the administrative processing of its operations to a Swiss subsidiary of a major international banking group. In accordance with the requirements of FINMA, this outsourcing operates under a contractual and organisational framework which guarantees the quality of performance of client transactions, as well as the security and confidentiality of data and information handled by the outsourcing partner.



Executive Management

The Management Committee is the Bank's executive body. Its composition, as well as the professional background and training of its various members, is as follows:

Grégoire PENNONE <i>Chief Executive Officer</i>	Grégoire's expertise spans across the tax, fiduciary, marketing and banking fields. He first held the position of General Secretary at Banque Bénédict Hentsch & Cie SA from 2011 to 2013, before returning at the end of 2015 as GS Banque SA's Chief Executive Officer. Grégoire holds a Law degree, a Certificate in Tax Law, an MBA in Marketing and Communication, as well as a CAS in Public Health Management.
Alexandre KUHN <i>Head of Asset Management</i>	After his graduation from university and extensive training in the banking profession at UBS in Geneva, Alexandre Kuhn began his career in 1986 at the banking group ANZ Grindlays. In 1993, Alexandre Kuhn co-founded the asset management firm Dynagest SA, where he oversaw operations and acted as Chairman of the Board. In 2018, his structure joined Banque Profil de Gestion, leading him to become Head of Asset management, while continuing to manage Portfolio Insurance products. Alessandro holds a Bachelor in Political Science (Institut des Hautes Études Internationales and University of Geneva) and a Federal Diploma of vocational Education and Training (VET) in Banking.
Jean-Jacques SCHRAEMLI <i>Chief Operating Officer</i>	Jean-Jacques holds a bachelor in Mathematics from ETH Zurich and a master in Statistical Science Cambridge University. Following his studies, he spent 7 years trading FX options in London and New York for a global investment bank. Before joining Banca Arner SA in 2018 he contributed to the founding of a Fintech company, truly believing in the use of technology in Asset Management.
Karel GAULTIER <i>Head of Wealth Management</i>	In 1999, Karel turned to finance. As an entrepreneur, he founded Ukan, a company specialising in investment before joining Priscus Finance in 2003, an M&A boutique, as a Partner. Between 2007 and 2016, he managed several asset management teams for UBS, Mirabaud and EFG, dedicated to high net worth clients. He joined Banque Profil de Gestion as a Partner in 2016 and is responsible for client development and the management of all private banking activities.
Yves KELLER <i>Chief Finance Officer / Chief Risk Officer</i>	Yves stayed with Deloitte for 17 years, where he was ultimately in charge of the Audit and Advisory function for the banking and financial institutions sector, until he joined GS Banque SA in early 2017. He was auditor in charge, accredited by FINMA, of medium and large swiss based bank, participated in M&A transactions as financial and regulatory specialist, and has operated some bank liquidation. He is a Swiss Certified Accountant, and holds of Master's in Business Administration from Geneva University's Business & Economics faculty (HEC).
Xavier CLAVEL <i>Head of Asset Services</i>	Xavier has 20 years of experience in the private banking and asset management industry. He served as Global Head of Private Banking and member of the Executive Committee of Banque Cramer & Cie SA from 2015 to 2017. Prior to that, he worked for HSBC Private Bank (Schweiz) as Head of the Alternative Investment Group in Switzerland. From 2001 to 2013, Xavier Clavel was Head of Private Clients Continental Europe at GAM (Schweiz). He joined Falcon Private Bank in 2019 as Head of Private Banking, Products & Investments. Xavier holds an MBA from the University of St. Gallen (HSG), with a specialisation in financial and capital markets.



Board of Directors

The Board of Directors has ultimate responsibility for the strategy and management of the Bank and provides oversight of Executive Management. In accordance with Articles of Incorporation, the Board of Directors consists of a minimum of three members elected by the General Meeting of Shareholders. The Chairman or Vice-Chairman must be resident in Switzerland. No member of the Board of Directors may be a member of any of the Bank's operational bodies.

The Audit & Risk Committee and the Compensation Committee shall consist of at least two members appointed by the Board of Directors from among its members. The composition of the Board of Directors and the professional background of its members are detailed below:

<p>Geneviève BERCLAZ (*) <i>Chairwoman of the Board / Chairwoman of the Compensation Committee</i></p>	<p>A lawyer and former member of the Swiss delegation to the FATF (Financial Act Group), Geneviève is a seasoned professional in the identification and management of regulatory risk. She has almost 20 years of experience and knowledge with the Swiss Financial Market Supervisory Authority (FINMA), the Federal Finance Administration and as an auditor. Geneviève is a director of several banks and financial intermediaries.</p>
<p>Alessandro BIZZOZERO (*) <i>Vice Chairman of the Board / Chairman of the Audit & Risk Committee</i></p>	<p>Alessandro has over 30 years' experience in the banking and regulatory fields. Amongst other distinguished positions, he is the former Director of the CAS in Compliance Management and Lecturer at the University of Geneva, as well as a Lecturer at the University of Applied Sciences (HEG ARC) in Neuchâtel and at the Centro Studi Bancari in Lugano. Alessandro holds a Law Doctorate and holds a licence to practice law.</p>
<p>Frédéric BINDER (*) <i>Board Member / Member of the Audit & Risk Committee</i></p>	<p>After obtaining his law degree from the University of Geneva and passing the Bar in 1992, Frédéric completed an LLM in European Law from the University of Brussels. In 1998 he was head of its Gibraltar subsidiary and in 2001 took over the responsibility of its Canadian operations in Montréal. Upon his return in Switzerland he became head of the UK Market, Private Banking, and then Co-Chief Operating Officer. He also oversaw the Nassau office, which activities encompassed private banking, corporate and trust services. In 2019 Me Frédéric Binder became a Member of the Geneva Bar and Counsel at Bonnart & Partners. He acts as an independent non-executive Director on the board of companies both in and outside the financial services industry.</p>
<p>Jean-Claude FAVRE (*) <i>Board Member / Member of the Compensation Committee</i></p>	<p>Jean-Claude has held senior positions across several international banking institutions for 25 years, where he has brought his expertise in the areas of Corporate Strategy and Corporate Organizational Models. He holds a Master's in Mathematics from the Swiss Polytechnic in Lausanne (EPFL), as well as a Master's from Lausanne University's Business & Economics faculty (HEC).</p>
<p>Roland MÜLLER-INEICHEN (*) <i>Board Member / Member of the Audit & Risk Committee</i></p>	<p>Since 2009, Roland is servicing as independent director on the Board of Directors of multiple Swiss and foreign financial companies and has developed and reinforced his thorough understanding of corporate governance, our business and the banking industry. Before that, Roland has worked 12 years as lead partner in charge for financial and regulatory audits of national and international Banks, Security Dealers and Fund Management companies in the audit department of KPMG in Zurich. He is a Swiss Certified Public Accountant and he is recognized as audit expert by the Swiss Audit Supervisory Authority and qualifies as a financial expert.</p>

(*) independent member according to FINMA definition



Internal Auditors

BDO SA, Geneva branch office

External Auditors

PricewaterhouseCoopers SA, Geneva branch office
